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SECTOR

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

HAYASHI et al.

Serial No. 09/710,834

Filed: November 14, 2000



Atty. Ref.: 1417-332

Group: 1772

Examiner:

For: BLACK MAGNETIC ACICULAR COMPOSITE  
PARTICLES FOR MAGNETIC RECORDING MEDIUM  
AND MAGNETIC RECORDING MEDIUM USING THE  
SAME

\* \* \* \* \*

March 2, 2001

Honorable Commissioner of Patents  
and Trademarks  
Washington, DC 20231

Sir:

**RESPONSE TO NOTIFICATION OF MISSING  
PARTS UNDER 37 CFR 1.53(b)**

Sir:

Responsive to the Notification of Missing Requirements mailed February 22, 2001 (a copy of which is attached), it is respectfully submitted that the executed Declaration and Assignment were filed on February 8, 2001. A copy of the PTO mail room date-stamped postcard indicating receipt of the executed Declaration is attached hereto.

Confirmation of receipt of the executed Declaration is respectfully requested.

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MAR 19 2001

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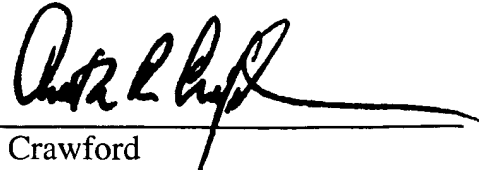
HAYASHI et al.

Serial No. 09/710,834

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:



Arthur R. Crawford

Reg. No. 25,327

ARC:pfc

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UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/710,834	11/14/2000	Kazuyuki Hayashi	1417-332

Nixon & Vanderhye PC  
1100 North Glebe Road  
8th Floor  
Arlington, VA 22201-4714

# FORMALITIES LETTER



\*OC000000005788960\*

Date Mailed: 02/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*

*A copy of this notice MUST be returned with the reply.*

*S. Monroe*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

HAYASHI et al.

Serial No. 09/710,834

Filed: November 14, 2000

For: BLACK MAGNETIC ACICULAR COMPOSITE PARTICLES FOR MAGNETIC RECORDING MEDIUM AND MAGNETIC RECORDING MEDIUM USING THE SAME

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Branch

Atty. Dkt. 1417-332

Date: February 8, 2001



The attached completes filing of the above-identified patent application:

- ☒ Signed Rule 63 Declaration alone OR  
☐ Signed Declaration plus attached copy of originally filed specification/drawings.  
☐ NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED form.  
☒ Record and return the attached assignment.  
☒ Priority is hereby claimed per Rule 55 & 35 USC119 based on prior foreign application(s) Nos.:
- | Application Nos. | Country | Filing Date      |
|------------------|---------|------------------|
| 11-324150        | Japan   | 15 November 1999 |
| 11-326191        | Japan   | 16 November 1999 |

respectively.

☐ This application is based on the following prior provisional application(s):

Application No. Filing Date

respectively and priority is hereby claimed therefrom.

Certified copy(ies) of foreign and/or provisional application(s): ☐ attached; ☒ already filed on November 14, 2000 in U.S. Application Serial No. 09/710,834, filed on November 14, 2000.

- ☐ The undersigned verifies that the above-identified application is identical to: filed , as amended on  
☐ This application is entitled to "Small entity" status. ☐ "Small entity" statement attached.  
☐ Also attached:

**Fees are attached as calculated below:**

Basic filing fee				\$	710.00
Total Effective claims	36	- 20 =	16	x \$	18.00
				\$	288.00
Independent claims	5	- 3 =	2	x \$	80.00
				\$	160.00
If any proper multiple dependent claims now added for first time, add \$270.00 (ignore improper)					\$ 0.00
<b>FILING FEE</b>					\$ 1,158.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$390.00/2 months; \$890.00/3 months; \$1390.00/4 months)					
				\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted				\$	130.00
<b>FIRST SUBTOTAL</b>					\$ 1,288.00
If "small entity," enter half (1/2) of subtotal and subtract					-\$ 0.00
<b>SECOND SUBTOTAL</b>					\$ 1,288.00
Assignment Recording Fee (\$40.00)				\$	40.00
<b>TOTAL FEE ENCLOSED</b>					\$ 1,328.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

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**NIXON & VANDERHYTE P.C.**

By Atty: Arthur R. Crawford, Reg. No. 25,327

Signature: 



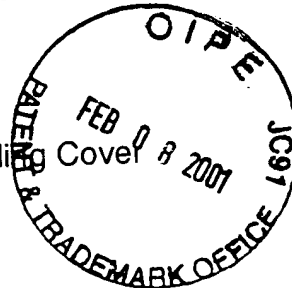
Serial No.: 09/710,834  
Inventor/s: HAYASHI et al.

Atty: Arthur R. Crawford  
Date: February 8, 2001  
C#/M#: 1417-332

Title: BLACK MAGNETIC ACICULAR COMPOSITE  
PARTICLES FOR MAGNETIC RECORDING MEDIUM  
AND MAGNETIC RECORDING MEDIUM USING THE  
SAME

Amendment  
Pages Specification, Claims & Abstract  
Claims  
Sheets of Drawings  
XX Declaration (2 Pages)  
XX Assignment (3 Pages) Including Cover  
Priority Document(s)  
Base Issue Fee Transmittal  
\$1328.00 Fee (Check)

Other:



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